

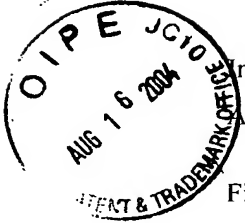
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August 16, 2004
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& LIONE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yan Zhou et al.

Appln. No.: 10/083,674

Filed: October 19, 2001

For: Integrated Planar Composite Coupling Structures
for Bi-Directional Light Beam Transformation
Between a Small Mode Size Waveguide and a
Large Mode Size Waveguide

Examiner: Daniel J.
Petkovsek

Art Unit: 2874

Attorney Docket No: 10095/27

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Amendment including 4 pages of replacement drawings.
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim						+\$145=		+ \$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

David W. Okey

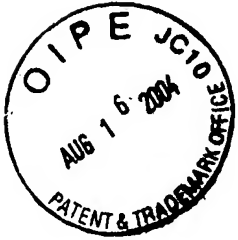
Date

August 16, 2004

David W. Okey (Reg. No. 42,959)

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Our Case No. 10095/27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yan Zhou et al.

Serial No. 10/083,674

Filing Date: October 19, 2001

For: Integrated Planar Composite Coupling Structures for Bi-Directional Light Beam Transformation Between a Small Mode Size Waveguide and a Large Mode Size Waveguide

) Examiner: Daniel J. Petkovsek

) Group Art Unit No. 2874

AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In reply to the non-final Office Action mailed May 14, 2004, Applicant has timely filed this response by Express Mail Post Office to addressee.

Amendments to the Drawings begin on p. 2 of this paper.

Amendments to the Claims begin on p. 3 of this paper.

Remarks/Arguments begin on p. 31 of this paper.

An Appendix with four pages of replacement drawings begins after p. 34 of this paper.

In the drawings:

In response to the objections, Applicants attach four pages of replacement drawings to Appendix A of this amendment, replacing Figs. 25, 27e, 28 and 31. No new matter was added in preparing these replacement drawings.